

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Utility Patent Application

**AUTHENTICATION BROKER SERVICE**

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CLIENT'S DOCKET NO. MS304553.01

ATTORNEY'S DOCKET NO. MS1-1841US

**EV436703418**

## **AUTHENTICATION BROKER SERVICE**

### **Technical Field**

The invention relates generally to computer system security, and more particularly to an authentication broker service.

### **Background**

Enterprises allow users to access to their computer systems for many reasons. In a typical scenario, a business will create a user account for an employee, which allows the employee to log into the business's computer system. Creating a user account generally involves issuing an identity for the user that is recognizable by the computer system. The login process authenticates the user (i.e., verifies the identity of the user) and allows the authenticated user to access resources and services in the system, in accordance with an appropriate authorization level.

In other scenarios, an enterprise may wish to allow users from external enterprises to access its computer system. For example, a business may allow customers to access some portion of its computer system to access specific resources and services (e.g., to place orders or to obtain support). In small enough volume, individual accounts with limited authorization may be reasonably created and maintained for such external users.

However, a large enterprise may desire to provide access to a large number of diverse and continuously changing external users. For example, a manufacturing company may allow some external access to its computer system by employees of its vendors and customers (e.g., for invoicing and order

1 placement purposes). In this scenario, the external users can change continuously  
2 as employees for the external companies come and go. Managing this access by  
3 granting and maintaining individual accounts to a substantial number of external  
4 users can seriously tax the enterprise's information technology department.

### 5 Summary

6  
7 Implementations described and claimed herein address the foregoing  
8 problems by providing an authentication broker service. In one implementation,  
9 an authentication broker service works in combination with an authentication  
10 service, although these two services may be hosted by the same or different  
11 computing entities.

12 In a typical scenario, a user has an identity issued by one computing entity,  
13 such as by his or her employer's computer system. The user's identity is issued by  
14 an authentication service that maintains an authentication account associated with  
15 the user. The authentication account stores authentication information sufficient  
16 to authenticate a purported identity of the user. The user, however, does not have  
17 an identity issued by a different computing entity that he or she wishes to access  
18 (e.g., a vendor's computer system). Accordingly, the other computing entity does  
19 not have an authentication account associated with the user and, therefore, cannot  
20 authenticate the user's identity directly.

21 Nevertheless, the user may be authenticated for the other computing entity  
22 (i.e., a "relying" computing entity) through an authentication broker service,  
23 wherein a trust relationship exists between the relying computing entity and the  
24 authentication broker service. The authentication service that issued the user's  
25 identity also has a trust relationship with the authentication broker service,

1 although the relying computing entity and the authentication service do not have a  
2 relevant trust relationship between them. In this configuration, the relying  
3 computing entity can therefore ask the authentication broker service to  
4 authenticate the identity of the user through the authentication service.

5 Accordingly, the authentication broker service or the authentication service  
6 can capture the user's credential and send an authentication response (e.g., a  
7 security token) through the authentication broker service to the relying computing  
8 entity to authenticate the identity of the user to the relying computing entity. The  
9 relying computing entity verifies the authentication response based on the trust  
10 relationship between the relying computing entity and the authentication broker  
11 service. Thus, in a common scenario, the authentication broker service enables  
12 users, such as from small businesses, to be recognized by a larger enterprise  
13 without having an identity issued from the enterprise because the authentication  
14 broker service has trust relationships with both the enterprise and the  
15 authentication service that issued the user's identity.

16 In some implementations, articles of manufacture are provided as computer  
17 program products. One implementation of a computer program product provides a  
18 computer program storage medium encoding a computer program that can be read  
19 and executed by a computer system. Another implementation of a computer  
20 program product may be provided in a computer data signal embodied in a carrier  
21 wave by a computing system and encoding the computer program.

22 One implementation of a computer program product encodes a computer  
23 program for executing a computer process on a computer system, wherein the  
24 computer process authenticates an identity of a user seeking access to a relying  
25 computing entity, the identity of the user being issued by an authentication service.

1 An authentication request is received at a broker service from the relying  
2 computing entity to authenticate the identity of the user. A first trust relationship  
3 exists between the relying computing entity and the broker service, and a second  
4 trust relationship exists between the authentication service and the broker service.  
5 An authentication response is received from the authentication service. An  
6 authentication response is sent from the broker service to the relying computing  
7 entity representing a trusted authentication of the identity of the user to the relying  
8 computing entity based on the first trust relationship and the second trust  
9 relationship.

10 In another implementation, a method of authenticating an identity of a user  
11 seeking access to a relying computing entity is provided, the identity of the user  
12 being issued by an authentication service. An authentication request is received at  
13 a broker service from the relying computing entity to authenticate the identity of  
14 the user. A first trust relationship exists between the relying computing entity and  
15 the broker service, and a second trust relationship exists between the  
16 authentication service and the broker service. An authentication response is  
17 received from the authentication service. An authentication response is sent from  
18 the broker service to the relying computing entity representing a trusted  
19 authentication of the identity of the user to the relying computing entity based on  
20 the first trust relationship and the second trust relationship.

21 In yet another implementation, a system for authenticating an identity of a  
22 user seeking access to a relying computing entity is provided, wherein the identity  
23 of the user is issued by an authentication service. An authentication broker service  
24 has a first trust relationship with the relying computing entity and a second trust  
25 relationship with the authentication service. The authentication broker service

1 receives an authentication request from the relying computing entity to  
2 authenticate the identity of the user and receives an authentication response from  
3 the authentication service. The authentication broker service further sends an  
4 authentication response to the relying computing entity to represent a trusted  
5 authentication of the identity of the user to the relying computing entity based on  
6 the first trust relationship and the second trust relationship.

7 Another implementation of a computer program product encodes a  
8 computer program for executing a computer process on a computer system,  
9 wherein the computer process establishes a brokerable trust relationship between  
10 an authentication broker service and each of a plurality of computing entities. One  
11 or more brokered authentication rules governing brokered authentication through  
12 the authentication broker service are established. An agreement from each  
13 computing entity to comply with the one or more brokered authentication rules is  
14 obtained. The authentication broker service is configured to authenticate identities  
15 of one or more users for each computing entity in accordance with the one or more  
16 brokered authentication rules. The one or more users have identities issued by one  
17 or more authentication services having trust relationships with the authentication  
18 broker service.

19 In another implementation, a method of establishing a brokerable trust  
20 relationship between an authentication broker service and each of a plurality of  
21 computing entities is provided. One or more brokered authentication rules  
22 governing brokered authentication through the authentication broker service are  
23 established. An agreement from each computing entity to comply with the one or  
24 more brokered authentication rules is obtained. The authentication broker service  
25 is configured to authenticate identities of one or more users for each computing

1 entity in accordance with the one or more brokered authentication rules. The one  
2 or more users have identities issued by one or more authentication services having  
3 trust relationships with the authentication broker service.

4 Other implementations are also described and recited herein.

### 5 **Brief Descriptions of the Drawings**

6  
7 FIG. 1 represents a computing entity employing an exemplary  
8 authentication broker service to authenticate an external user.

9 FIG. 2 illustrates an exemplary network of computing entities and trust  
10 relationships in association with an authentication broker service.

11 FIG. 3 illustrates communications among a computing entity, an exemplary  
12 authentication broker service, and an authentication service to authenticate an  
13 external user.

14 FIG. 4 illustrates operations and communications for authenticating the  
15 identity of an external user using an exemplary authentication broker service.

16 FIG. 5 illustrates a system useful for implementing an embodiment of the  
17 present invention.

### 18 **Detailed Description**

19 In an exemplary implementation, a user is authenticated for access to an  
20 enterprise through an authentication broker service, because the enterprise does  
21 not possess the requisite authentication information for the user. An identity of the  
22 user has been issued by an authentication service, which maintains an  
23 authentication account containing the requisite authentication information  
24 associated with the user. Relevant trust relationships exist between the enterprise  
25

1 and the authentication broker service and between the authentication service and  
2 the authentication broker server, but not between the enterprise and the  
3 authentication service. Accordingly, the enterprise asks the authentication broker  
4 service to authenticate the identity of the user. The authentication broker service  
5 or the associated authentication service can capture the user's credentials and send  
6 an authentication response (e.g., a security token) through the authentication  
7 broker service to the enterprise to authenticate the identity of the user to the  
8 enterprise. The enterprise verifies the authentication response based on the trust  
9 relationship between the enterprise and the authentication broker service.

10 FIG. 1 represents a relying computing entity 100 (e.g., an enterprise)  
11 employing an exemplary authentication broker service 102 to authenticate an  
12 external user 104. The relying computing entity 100 represents an organization,  
13 business, government entity, or other computing entity that includes one or more  
14 resources and/or services 106. The authentication broker service 102 can broker  
15 authentication operations for computing entities with which the authentication  
16 broker service 102 has established trust relationships (e.g., trust relationship 112).

17 An authentication service 110 can authenticate user identities that the  
18 authentication service 110 has issued. The authentication broker service 102 and  
19 the authentication service 110 also have an established trust relationship 118  
20 between them, but a relevant trust relationship does not exist between the relying  
21 computing entity 100 and the authentication service 110.

22 It should be understood that the authentication broker service 102 and the  
23 authentication service 110 may be hosted on different computing systems and  
24 within different computing entities, although the two services may alternatively  
25



1 reside on the same computing system or within the same computing entity (as  
2 represented by dashed box 108).

3 The relying computing entity 100 may have issued identities for a variety of  
4 users (such as user 120) within the computing entity for authentication and  
5 authorization purposes. However, the relying computing entity 100 has not issued  
6 and does not recognize the identity of the external user 104. Instead, the  
7 authentication service 110 has issued the user's identity and maintains the relevant  
8 authentication account information. Thus, the authentication service 110 can  
9 authenticate the user 104 (e.g., by validating a credential provided by the user 104  
10 against an authentication account 114 for the user 104). It should be understood  
11 that the authentication service 110 can maintain authentication accounts 116 for a  
12 variety of users.

13 An exemplary brokered authentication scenario includes an attempt by the  
14 user 104 to access the resources and/or services of the relying computing  
15 entity 100. However, in this scenario, the identity of the user 104 has not been  
16 issued by the relying computing entity 100 (hence, the user 104 is considered an  
17 "external" user). As such, the relying computing entity 100 cannot authenticate  
18 the identity of the user 104, although such authentication is required before access  
19 to resources and/or services 106 may be permitted. It should be understood that  
20 the external user is considered to exist logically "outside" the relying computing  
21 entity 100 but may be physically located anywhere. For example, the user 104 can  
22 reside physically within the premises of the relying computing entity 100 and still  
23 be considered an "external user".

24 Instead, in one implementation, the relying computing entity 100  
25 establishes a trust relationship 112 with the authentication broker service 102 to

1 broker authentication of the identities of certain external users. Generally, a trust  
2 relationship is set up in an initial stage to establish a trust domain or realm. A trust  
3 domain or realm represents an administered security space in which the source and  
4 target of a request can determine whether particular sets of credentials from the  
5 source satisfy the relevant security policies of the target. For example, entities  
6 may establish a trust domain by sharing a symmetric key or by agreeing to trust  
7 signatures created with a private key of other entities in the trust domain. Two  
8 entities may also establish a trust relationship based on a set of shared secrets  
9 between the two entities. A trust policy is established between two realms in a  
10 federation to enable the sharing of keys or the trusting of each other's signatures.

11 In a brokered authentication scenario, the target (e.g., the relying computing  
12 entity 100) does not possess adequate authentication information to authenticate  
13 the identity of the source (e.g., the user 104) and no trust relationship (or an  
14 inadequate trust relationship) exists between the user 104 and the relying  
15 computing entity 100. Accordingly, the relying computing entity 100 cannot  
16 authenticate the identity of the user 104. Therefore, the relying computing  
17 entity 100 defers the trust decision to a third party (e.g., the authentication broker  
18 service 102) in accordance with the brokered authentication rules set out in a trust  
19 relationship agreement.

20 A trust relationship may be defined through a variety of agreements,  
21 standards, and/or cooperative technologies (collectively referred to as  
22 "governance" defining brokered authentication rules) to make user identity and  
23 entitlements portable between the organizations. For example, a trust relationship  
24 may involve an exchange of security keys and a legal agreement between the  
25 relying computing entity and the organization maintaining the broker service to

1 comply with the defined brokered authentication rules. In some implementations,  
2 a trust relationship involves common authentication token format and sharing of a  
3 trust policy relating to identity and entitlements supported between the entities in  
4 the trust relationship. A trust relationship may also represent an agreement by the  
5 parties to comply with security and privacy requirements. Appropriate reviews  
6 and audit may also be specified as part of the trust relationship.

7 Various token formats may be defined. Possible properties of security  
8 tokens are listed below without limitation:

- 9 • Security tokens contain signature of the issuing authority over the whole  
10 token.
- 11 • Security tokens contain a subject identifier uniquely identifying the entity  
12 for which the security token was granted. The originating realm of a given  
13 security token is derivable from the subject identifier.
- 14 • Security tokens contain a recipient identifier.
- 15 • Security tokens contain the time of initial authentication, validity interval,  
16 and the type of authentication that was performed.
- 17 • Security tokens contain identity information, provided schema describing  
18 the additional identify information is understood by the recipient.
- 19 • Security tokens are sent over a secure connection and are encrypted with  
20 the recipient's public key, which may be known to the broker service  
21 and/or authentication services.

22 As a result of the establishment of the trust relationship, the computing  
23 entity agrees to recognize assertions provided by the broker service. To establish  
24 the brokerable trust relationship, the broker service receives confirmation that the  
25 computing entity has agreed to comply with the brokered authentication rules

1 (e.g., through a registration or configuration operation). Thereafter, the broker  
2 service enables instructions for receiving and validating authentication requests  
3 from the complying computing entity. The broker service may also establish trust  
4 relationships with one or more other complying computing entities.

5 As illustrated in FIG. 1, upon receiving the external user's access request,  
6 the relying computing entity 100 determines that it cannot authenticate the  
7 external user 104 and therefore requests authentication of the external user 104 by  
8 redirecting an authentication request through the user's computer system to the  
9 appropriate authentication broker service 102. If the relying computing entity 100  
10 has entered trust relationships with multiple authentication broker services, the  
11 "appropriate" authentication broker service may be identified through a process  
12 referred to as "realm discovery". For example, the relying computing entity may  
13 query for the user's domain, or ask the user to specify or select an authentication  
14 broker service the user wishes to use.

15 Responsive to receipt of the authentication request, the authentication  
16 broker service 102 validates the request and authenticates the identity of the  
17 external user 104 through the authentication service 110. Responsive to this  
18 authentication, the authentication broker service 102 securely sends an  
19 authentication response (e.g., a security token) back to the relying computing  
20 entity 100, which verifies the authentication response. For example, in one  
21 implementation, such verification involves verifying that a received security token  
22 is formatted correctly, verifying the authorization broker service's signature,  
23 verifying the security token validity interval, and verifying properties requested by  
24 policy, such as a required authentication type, maximum time since authentication  
25

1 instance (e.g., a password must have been submitted within an hour), identity  
2 properties, etc.

3 If the relying computing entity 100 verifies the token, the relying  
4 computing entity 100 can then accept the identity of the external user 104 as  
5 authenticated based on the authentication response and the trust relationship  
6 between the relying computing entity 100 and the authentication broker  
7 service 102. Therefore, based on the authenticated identity of the external  
8 user 104, the relying computing entity 100 can issue an appropriate session ticket  
9 to the external user 104 authorizing access to the resources and/or services 106.

10 FIG. 2 illustrates an exemplary network of computing entities and trust  
11 relationships in association with an authentication broker service 200. The  
12 authentication broker service 200 has established trust relationships 212 and 214  
13 with a computing entity 202 and a computing entity 204, respectively. In addition,  
14 the computing entity 204 also has an established trust relationship 220 with a  
15 computing entity 206, which does not have an established trust relationship with  
16 the authentication broker service 200. In the illustration of FIG. 2, the computing  
17 entities 202, 204, and 206 have their own authentication services 203, 205,  
18 and 207, respectively, for authenticating users for which they have issued  
19 identities. It should be understood that such internal authentication services  
20 interact with the authentication services enabling the computing entities 202  
21 and 204 to participate in brokered authentication.

22 A computing entity 208 having users 210 and a computing entity 209  
23 having external users 211, which may include without limitation individual users,  
24 processes, or other computing entities, have identities issued by an authentication  
25 service 216 and do not have adequate trust relationships with either of the

1 computing entities 202 and 204 (e.g., do not have adequate authentication  
2 accounts at either of the computing entities 202 or 204). It should be understood  
3 that the users 210 of computing entity 208 and the users 211 of computing  
4 entity 209 may be numerous and continuously changing, as new users are added  
5 and existing user are removed.

6 The computing entities 208 and 209 have established trust relationships 218  
7 and 220 with the authentication service 216, which issues identities for each of  
8 their users and maintains authentication accounts 222 for these users. An interface  
9 (not shown) exists for each computing entity 208 and 209 to administer the  
10 authentication accounts for their respective users in the authentication service 216.

11 In the configuration illustrated in FIG. 2, any of the external users 210  
12 or 211 may be authenticated for access to the computing entities 202 and 204  
13 through the authentication broker service 200. Accordingly, the computing  
14 entities 202 and 204 have agreed to recognize external users whose identities have  
15 been authenticated through the authentication broker service 200 and therefore do  
16 not need to maintain authentication information for such external users.  
17 Furthermore, registered users of computing entity 202 can be authenticated to  
18 computing entity 204 (and vice versa), even though computing entity 202 and  
19 computing entity 204 do not have a direct trust relationship, because computing  
20 entity 202 and computing entity 204 both have trust relationships with the  
21 authentication broker service 200.

22 FIG. 3 illustrates communications among a relying computing entity 300,  
23 an exemplary authentication broker service 302, and an authentication service 310  
24 to authenticate an external user 304. The relying computing entity 300 represents  
25 an organization that includes one or more resources and/or services 306.

1 However, the relying computing entity 300 does not have adequate authentication  
2 information about the external user 304 to authenticate the identity of the user. The  
3 authentication broker service 302 can broker authentication operations for  
4 computing entities with which the authentication broker service 302 has  
5 established trust relationships (e.g., trust relationship 312). An authentication  
6 service 310 can authenticate user identities that the authentication service 310 has  
7 issued or for which the authentication service 310 maintains an authentication  
8 account. The authentication broker service 302 and the authentication service 310  
9 also have an established trust relationship 318 between them, but a relevant trust  
10 relationship does not exist between the relying computing entity 300 and the  
11 authentication service 310.

12 The relying computing entity 300 has not issued and does not recognize the  
13 identity of the external user 304. Instead, the authentication service 310 has issued  
14 the user's identity. Thus, the authentication service 310 can authenticate the  
15 user 304 (e.g., by validating a credential provided by the user 104 against an  
16 authentication account 314 for the user 304). It should be understood that the  
17 authentication service 310 can maintain authentication accounts 316 for a variety  
18 of users.

19 Various messages are represented by numbered circle and arrow symbols in  
20 FIG. 3. It should be understood that each message may involve one or more  
21 component messages required to effect the communications required for each  
22 operation. The external user 304 sends a message (1) requesting access to the  
23 computing entity 300 (e.g., to gain access to the resources and/or services 306 of  
24 the computing entity 300). The computing entity 300 determines that it is unable  
25 to authenticate the identity of the requesting external user 304 and issues a

1 message (2) requesting authentication of the external user 304 by redirection to the  
2 appropriate authentication broker service 302. In one implementation, the  
3 appropriate authentication broker service 302 is identified through realm  
4 discovery, and the message (2) is redirected to the identified authentication broker  
5 service 302 as a message (2'). Upon receipt of the authentication request of  
6 message (2'), the authentication broker service 302 validates message (2') to verify  
7 that the message actually originated from a relying computing entity with which it  
8 has an established trust relationship.

9 In one implementation, having successfully validated message (2'), the  
10 authentication broker service 302 issues a message (3) requesting a user ID from  
11 the user 304, which is returned to the authentication broker service 302 in  
12 message (4). The authentication broker service 302 validates the authentication  
13 request, performs realm discovery using the returned user ID, and routes or  
14 redirects the authentication request to the authentication service 310 in  
15 message (5). (A redirection is shown in combination with message (5'); however,  
16 it should be understood that the authentication broker service 302 and the  
17 authentication service 310 may also communicate directly.)

18 In response to the receipt of the authentication request of message (5'), the  
19 authentication service 310 validates the request and requests credentials from the  
20 user 304 by way of message (6) (e.g., a "challenge"). Typically, the message (6)  
21 provides a user interface of a prompt requesting entry of a login and password,  
22 although other credential requesting messages may alternatively be employed. For  
23 example, the user could also be authenticated using a digital certificate, smart  
24 card, or biometric device. The external user 304 inputs appropriate credentials and  
25 returns a message (7) providing these credentials to the authentication service 310.



1       Upon receipt of the credentials of the external user, the authentication  
2 service 310 validates of the credentials to authenticate the identity of the external  
3 user 304. In the illustrated implementation, the authentication service 302  
4 accesses an authentication account 314 associated with the external user 304,  
5 which was created or updated through an administration interface (not shown) to  
6 the authentication service 310. As such, in the illustrated implementation, the  
7 authentication services 310 uses the authentication information in the  
8 authentication account 314 to authenticate the identity of the external user 304.

9       After the authentication service 310 validates the user's provided  
10 credentials using the authentication account 314 and provides a security token to  
11 the authentication broker service 302 via redirection through the user in messages  
12 (8) and (8'). Again, direct communication between the authentication service 310  
13 and the authentication broker services 302 is also contemplated.

14       Responsive to the receipt of the security token, the authentication broker  
15 service 302 validates the security token and passes the token along to the relying  
16 computing entity 300 via redirection in messages (9) and (9'). The relying  
17 computing entity 300 validates the security token and provides a session ticket to  
18 the user 304 in message (10).

19       In another implementation, the authentication broker service 302 can omit  
20 the messages for collecting the user ID and redirecting to the authentication  
21 service 310. In this implementation, the authentication broker service 302 can  
22 collect the user's credentials, in response to receipt of the authentication request  
23 from the relying computing entity 300, and pass them directly to the authentication  
24 service 310 or redirect the user's credentials through the user to the authentication  
25 service 310. As such, in this implementation, the authentication broker

1 service 302 can collect the user's credentials by providing the user interface  
2 prompt or operating some other interface for collecting the user's credentials.

3 As a security enhancement to an implementation in which the user's  
4 credentials may be collected by the authentication broker service 302, the  
5 credentials may be protected to prevent the authentication broker service 302 from  
6 interpreting them. For example, the credentials may be encrypted using a security  
7 key that is known to the authentication service 310 but is not known to the  
8 authentication broker service 302. Other methods of protecting the credentials  
9 from interpretation by the authentication broker service 302 may also be  
10 employed.

11 FIG. 4 illustrates operations and communications 400 for authenticating the  
12 identity of an external user 402 using an exemplary authentication broker  
13 service 404. The authentication broker service 404 has a trust relationship with a  
14 relying computing entity 406, which does not have a trust relationship with (or  
15 adequate authentication information for) the user 402. The authentication broker  
16 service 404 also has an established trust relationship with an authentication service  
17 408, which issued the identity of the user 402 and maintains adequate  
18 authentication information about the user 402. In a message 410, the user 402  
19 requests access to the relying computing entity 406, which evaluates the  
20 authentication status of the user 402 in an evaluation operation 412. If the relying  
21 computing entity 406 cannot authenticate the identity of the user 402, the relying  
22 computing entity 406 requests authentication within the relevant trust domain  
23 using the message 414.

24 The authentication request 414 is redirected through the user's computer  
25 system to the appropriate authentication broker service 404 as message 416. In a

1 validation operation 418, the authentication broker service 404 validates the  
2 authentication request as coming from a relying computing entity with which it  
3 has an established trust relationship. The authentication broker service 404  
4 performs a realm discovery operation 420 to identify the authentication service  
5 that should handle the authentication of the user 402.

6 Having identified the appropriate authentication service 408, the  
7 authentication broker service 404 routes or redirects the authentication request to  
8 the authentication service 408 in messages 422 and 424. The authentication  
9 service 408 validates the authentication request in validation operation 426 and  
10 challenges the user 402 in message 428. The user 402 provides credentials in  
11 message 430 to the authentication service 408, which validates the credentials in  
12 validation operation 432.

13 Based on the validation of the credentials, the authentication service 408  
14 provides an authentication response (e.g., a security token) to the authentication  
15 broker service 404 in message 434 (directly, or through redirection 436). The  
16 authentication broker service 404 validates the authentication response in  
17 validation operation 438 and provides the authentication response to the relying  
18 computing entity 406 through redirection messages 440 and 442, thereby  
19 representing a trusted authentication of the user 402. The relying computing  
20 entity 406 validates the token in validation operation 444 and provides a session  
21 ticket in message 446 to the user 402. Given the session ticket, the user 402 is  
22 therefore authenticated to the relying computing entity 406.

23 An additional benefit of brokered authentication as described herein lies in  
24 the capability of an authentication broker service to switch or translate between  
25 different security protocols understood by a relying computing entity and an

1 authentication service. For example, if the relying computing entity expects a  
2 digital certificate protocol to authenticate users and the authentication service  
3 provides a security token in Security Assertions Markup Language (SAML), the  
4 authentication broker service can anticipate this mismatch based on its knowledge  
5 of the supported protocols of the entities with which it has trust relationships (e.g.,  
6 the relying computing entity and the authentication service) and translate the  
7 security token accordingly before sending it on to the relying computing entity.

8 The exemplary hardware and operating environment of FIG. 5 for  
9 implementing the invention includes a general purpose computing device in the  
10 form of a computer 20, including a processing unit 21, a system memory 22, and a  
11 system bus 23 that operatively couples various system components include the  
12 system memory to the processing unit 21. There may be only one or there may be  
13 more than one processing unit 21, such that the processor of computer 20  
14 comprises a single central-processing unit (CPU), or a plurality of processing  
15 units, commonly referred to as a parallel processing environment. The  
16 computer 20 may be a conventional computer, a distributed computer, or any other  
17 type of computer; the invention is not so limited. It should be understood that  
18 implementations of the present invention may also exist in hardware environments  
19 including mobile phones, personal digital assistants, and other handheld devices.

20 The system bus 23 may be any of several types of bus structures including a  
21 memory bus or memory controller, a peripheral bus, a switched fabric, point-to-  
22 point connections, and a local bus using any of a variety of bus architectures. The  
23 system memory may also be referred to as simply the memory, and includes read  
24 only memory (ROM) 24 and random access memory (RAM) 25. A basic  
25 input/output system (BIOS) 26, containing the basic routines that help to transfer

1 information between elements within the computer 20, such as during start-up, is  
2 stored in ROM 24. The computer 20 further includes a hard disk drive 27 for  
3 reading from and writing to a hard disk, not shown, a magnetic disk drive 28 for  
4 reading from or writing to a removable magnetic disk 29, and an optical disk drive  
5 30 for reading from or writing to a removable optical disk 31 such as a CD ROM  
6 or other optical media.

7 The hard disk drive 27, magnetic disk drive 28, and optical disk drive 30  
8 are connected to the system bus 23 by a hard disk drive interface 32, a magnetic  
9 disk drive interface 33, and an optical disk drive interface 34, respectively. The  
10 drives and their associated computer-readable media provide nonvolatile storage  
11 of computer-readable instructions, data structures, program modules and other  
12 data for the computer 20. It should be appreciated by those skilled in the art that  
13 any type of computer-readable media which can store data that is accessible by a  
14 computer, such as magnetic cassettes, flash memory cards, digital video disks,  
15 Bernoulli cartridges, random access memories (RAMs), read only memories  
16 (ROMs), and the like, may be used in the exemplary operating environment.

17 A number of program modules may be stored on the hard disk, magnetic  
18 disk 29, optical disk 31, ROM 24, or RAM 25, including an operating system 35,  
19 one or more application programs 36, other program modules 37, and program  
20 data 38. A user may enter commands and information into the personal computer  
21 20 through input devices such as a keyboard 40 and pointing device 42. Other  
22 input devices (not shown) may include a microphone, joystick, game pad, satellite  
23 dish, scanner, or the like. These and other input devices are often connected to the  
24 processing unit 21 through a serial port interface 46 that is coupled to the system  
25 bus, but may be connected by other interfaces, such as a parallel port, game port,

1 or a universal serial bus (USB). A monitor 47 or other type of display device is  
2 also connected to the system bus 23 via an interface, such as a video adapter 48.  
3 In addition to the monitor, computers typically include other peripheral output  
4 devices (not shown), such as speakers and printers.

5 The computer 20 may operate in a networked environment using logical  
6 connections to one or more remote computers, such as remote computer 49. These  
7 logical connections are achieved by a communication device coupled to or a part  
8 of the computer 20; the invention is not limited to a particular type of  
9 communications device. The remote computer 49 may be another computer, a  
10 server, a router, a network PC, a client, a peer device or other common network  
11 node, and typically includes many or all of the elements described above relative  
12 to the computer 20, although only a memory storage device 50 has been illustrated  
13 in FIG. 5. The logical connections depicted in FIG. 5 include a local-area network  
14 (LAN) 51 and a wide-area network (WAN) 52. Such networking environments  
15 are commonplace in office networks, enterprise-wide computer networks, intranets  
16 and the Internet, which are all types of networks.

17 When used in a LAN-networking environment, the computer 20 is  
18 connected to the local network 51 through a network interface or adapter 53,  
19 which is one type of communications device. When used in a WAN-networking  
20 environment, the computer 20 typically includes a modem 54, a network adapter, a  
21 type of communications device, or any other type of communications device for  
22 establishing communications over the wide area network 52. The modem 54,  
23 which may be internal or external, is connected to the system bus 23 via the serial  
24 port interface 46. In a networked environment, program modules depicted relative  
25 to the personal computer 20, or portions thereof, may be stored in the remote

1 memory storage device. It is appreciated that the network connections shown are  
2 exemplary and other means of and communications devices for establishing a  
3 communications link between the computers may be used.

4 In an exemplary implementation, an authentication broker service module,  
5 resources, services, validation modules, authentication service modules, and other  
6 modules may be incorporated as part of the operating system 35, application  
7 programs 36, or other program modules 37. Security tokens, session tickets, trust  
8 policies, brokered authentication rules, credentials, and other data may be stored as  
9 program data 38.

10 The embodiments of the invention described herein are implemented as  
11 logical steps in one or more computer systems. The logical operations of the  
12 present invention are implemented (1) as a sequence of processor-implemented  
13 steps executing in one or more computer systems and (2) as interconnected  
14 machine modules within one or more computer systems. The implementation is a  
15 matter of choice, dependent on the performance requirements of the computer  
16 system implementing the invention. Accordingly, the logical operations making  
17 up the embodiments of the invention described herein are referred to variously as  
18 operations, steps, objects, or modules.

19 The above specification, examples and data provide a complete description  
20 of the structure and use of exemplary embodiments of the invention. Since many  
21 embodiments of the invention can be made without departing from the spirit and  
22 scope of the invention, the invention resides in the claims hereinafter appended.  
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